

## 5.1 NCSSP 300 In Force Status Download Version 1.1

### Introduction

The NCSSP In Force Status Download Standard 300 ver. 1.0 is not year 2000 compliant. Since it is one of the original NAILBA standards that has been implemented, and since it is a requirement for many if not all of those who use these NAILBA standards to make all of their systems year 2000 compliant before the end of 1998, it is important that we have a year 2000 compliant solution to this problem that can be implemented by both the carriers and the agencies in this time frame.

In view of the short time frames within which compliance is required, we propose a simple, short-term solution that involves a low impact modification of the original In Force Status download format. It is simple in that it makes it possible for both the carrier and the agency system vendor to solve the fundamental Y2K problem with an absolute minimum of programming effort. It is "low impact" in that it has no adverse impact on users of the standard who are not able to make modifications to their systems at this time. Their use of the file will be unaffected. They will simply not be able to take advantage of the year 2000 compliant features.

In summary, then, this short-term solution should accomplish the following objectives:

1. Achieve complete year 2000 compliance.
2. Require a minimal programming development and testing effort on the part of insurance carriers who are currently delivering the file and agency system vendors who support processing the file to make the changes to achieve Y2K compliance with their existing delivery systems.
3. Low impact revision – will not cause a file to be un-processable by a target system that cannot be updated to accommodate the revision. Case in point, the Multidata systems will continue to be able to receive and process the In Force Status Download. They will not be able to take advantage of the Y2K compliance enhancement, but they should not be otherwise inconvenienced.

To make NCSSP 300 year 2000 compliant we must ensure that all dates in all record formats are available in eight digit format – CCYYMMDD. The original formats with the six digit date fields are left alone, and continue to be available for processing. There is insufficient filler area in certain of the record formats to add the actual CC such as 19, or 20 as we did with the Pending Case Status and Commission download standards. Instead, therefore, we propose to use available space in the filler areas of the original records to add a one byte century indicator for each date field in that particular record ( 0=1800's, 1=1900's, 2=2000's, 3=2100's).

#### 5.1.1 NCSSP In Force Status Download File Header Record

Record '\$\$' contains the NCSSP Std. 300 ver 1.0 file header information.

##### 01 INFORCE-HEADER-RECORD.

05 IHR-RECORD-TYPE	PIC X(02)	\$\$.
05 IHR-RECORD-LENGTH	PIC X(04)	250.
05 IHR-STANDARD-NUMBER	PIC X(03)	300.
05 IHR-CARRIER-ID	PIC X(05).	
05 IHR-FILE-DESCRIPTION	PIC X(30).	

05 IHR-FILE-DATE	PIC 9(06).
05 IHR-VERSION-NUMBER	PIC X(04) 01.1.
<b>05 IHR-CENT-FILE-DATE</b>	<b>PIC X(08) CCYYMMDD.</b>
05 FILLER	PIC X(188)

### 5.1.2 In Force Status Base Policy Data Record Type '0A'

01 INFORCE-RECORD-0A.

05 I0A-RECORD-TYPE	PIC X(02) VALUE '0A'.
05 I0A-GENERAL-AGENT-ID	PIC X(12).
05 I0A-POLICY-NUMBER	PIC X(15).
05 I0A-AGENT-NAME	PIC X(30).
05 I0A-AGENT-NUMBER	PIC X(12).
05 I0A-CONTRACT-TYPE	PIC X(02).
05 I0A-SPLIT-PERCENT	PIC 999V99.
05 I0A-INSURED-NAME	PIC X(30).
05 I0A-INSURED-DOB	PIC 9(06).
05 I0A-INSURED-AGE	PIC 9(02).
05 I0A-INSURED-SEX	PIC X(01).
05 I0A-INSURED-CLIENT-NUMBER	PIC X(15).
05 I0A-PLAN-CODE	PIC X(08).
05 I0A-LIFE-NUMBER	PIC 9(02).
05 I0A-FACE-AMOUNT	PIC 9(08)V9(02).
05 I0A-ANNUAL-PREMIUM	PIC 9(08)V9(02).
05 I0A-POLICY-FEE	PIC 9(08)V9(02).
05 I0A-EFFECTIVE-DATE	PIC 9(06).
05 I0A-MAT-EXP-DATE	PIC 9(06).
05 I0A-ISSUE-AGE	PIC 9(02).
05 I0A-RATING	PIC X(30).
05 I0A-STATUS	PIC X(02).
05 I0A-POLICY-DATE	PIC 9(06).
05 I0A-ISSUE-DATE	PIC 9(06).
05 I0A-LAPSE-DATE	PIC 9(06).
05 I0A-REINSTATEMENT-DATE	PIC 9(06).

\* CENTURY INDICATORS - 0 FOR 1800'S, 1 FOR 1900'S, 2 FOR 2000'S, \* 3  
FOR 2100'S, 4 FOR 2200'S

<b>05 I0A-INSURED-DOB-CI</b>	<b>PIC X(01)</b>
<b>05 I0A-EFFECTIVE-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0A-MAT-EXP-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0A-LAPSE-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0A-REINSTATEMENT-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 FILLER</b>	<b>PIC X(01)</b>

### 5.1.3 In Force Status Policy Data Record Type '0B'

01 INFORCE-RECORD-0B.

05 I0B-RECORD-TYPE	PIC X(02) VALUE '0B'.
05 I0B-GENERAL-AGENT-ID	PIC X(12).
05 I0B-POLICY-NUMBER	PIC X(15).
05 I0B-SERVICING-AGENT-NAME	PIC X(30).
05 I0B-SERVICING-AGENT-NUMBER	PIC X(12).
05 I0B-GROUP-BILLING-NUMBER	PIC X(15).
05 I0B-RESTRICTION	PIC X(02).
05 I0B-NFO	PIC X(02).
05 I0B-DIVIDEND-OPTION	PIC X(02).
05 I0B-DEATH-BENEFIT-AMOUNT	PIC 9(08)V9(02).
05 I0B-BENEFICIARY	PIC X(40).
05 I0B-ANNUAL-PREMIUM	PIC 9(08)V9(02).
05 I0B-MODAL-PREMIUM	PIC 9(08)V9(02).
05 I0B-PREMIUM-MODE	PIC X(03).
05 I0B-HOW-PAID	PIC X(02).
05 I0B-BILLING-FORM	PIC X(02).
05 I0B-PAC-DRAFT-DAY	PIC X(02).
05 I0B-BILLED-TO-DATE	PIC 9(06).
05 I0B-PAID-TO-DATE	PIC 9(06).
05 I0B-LAST-ACCOUNTING-DATE	PIC 9(06).
05 I0B-LAST-PREMIUM-PAID-AMOUNT	PIC 9(08)V9(02).
05 I0B-LAST-PREMIUM-RECD-DATE	PIC 9(06).
05 I0B-YTD-PREMIUM-PAID	PIC 9(08)V9(02).
05 I0B-CURRENT-PREMIUM-DUE-DATE	PIC 9(06).
05 I0B-MINIMUM-PREMIUM	PIC 9(08)V9(02).
05 I0B-PLANNED-PREMIUM	PIC 9(08)V9(02).
05 I0B-AUTOMATIC-PREMIUM-LOAN	PIC X(01).
05 I0B-BILLING-TYPE	PIC X(02).
<b>05 I0B-BILLED-TO-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0B-PAID-TO-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0B-LAST-ACCOUNTING-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0B-LAST-PREMIUM-RCV-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 I0B-CURRENT-PREMIUM-DUE-DATE-CI</b>	<b>PIC X(01)</b>
<b>05 Filler</b>	<b>PIC X(01)</b>

**5.1.4 In Force Status Policy Data Record Type '0C'**

01 INFORCE-RECORD-0C.

05 I0C-RECORD-TYPE	PIC X(02) VALUE '0C'.
05 I0C-GENERAL-AGENT-ID	PIC X(12).
05 I0C-POLICY-NUMBER	PIC X(15).
05 I0C-CURRENT-DIVIDEND-AMOUNT	PIC 9(08)V9(02).
05 I0C-ACCUMULATED-DIVIDENDS	PIC 9(08)V9(02).
05 I0C-AMOUNT-TERM-ADDS	PIC 9(08)V9(02).
05 I0C-AMOUNT-PAID-UP-ADDS	PIC 9(08)V9(02).
05 I0C-CONVERSION-CREDIT	PIC 9(08)V9(02).

05 I0C-CONVERSION-AVAILABILITY PIC X(01).  
 05 I0C-GUARANTEED-INTEREST-RATE PIC 9(03)V9(03).  
 05 I0C-CURRENT-INTEREST-RATE PIC 9(03)V9(03).  
 05 I0C-CURRENT-MONTH-FUND-BALANCE PIC 9(08)V9(02).  
 05 I0C-SURRENDER-FEE PIC 9(08)V9(02).  
 05 I0C-CASH-SURRENDER-VALUE PIC 9(08)V9(02).  
 05 I0C-CASH-VALUE-PAID-TO-DATE PIC 9(08)V9(02).  
 05 I0C-CASH-VALUE-LAST-ANNIV PIC 9(08)V9(02).  
 05 I0C-CASH-VALUE-NEXT-ANNIV PIC 9(08)V9(02).  
 05 I0C-WITHDRAWLS-TO-DATE PIC 9(08)V9(02).  
 05 I0C-LAST-LOAN-ACTIVITY-DATE PIC 9(06).  
 05 I0C-LOAN-INTEREST-RATE PIC 9(03)V9(03).  
 05 I0C-INTEREST-RATE-FLAG PIC X(01).  
 05 I0C-LOAN-BALANCE PIC 9(08)V9(02).  
 05 I0C-MAX-LOAN-AMOUNT PIC 9(08)V9(02).  
 05 I0C-MAX-PREFERRED-LOAN-AMOUNT PIC 9(08)V9(02).  
 05 I0C-DATE-OF-DEATH-CLAIM PIC 9(06).  
 05 I0C-DATE-DEATH-CLAIM-PAID PIC 9(06).  
 05 I0C-DEATH-CLAIM-STATUS PIC X(02).  
**05 I0C-LAST-LOAN-ACTIVITY-DATE-CI PIC X(01)**  
**05 I0C-DATE-OF-DEATH-CLAIM-CI PIC X(01)**  
**05 I0C-DATE-DEATH-CLAIM-PAID-CI PIC X(01)**  
 05 FILLER PIC X(28)

**5.1.5 In Force Status Additional Agent Record Type ‘3A’**

01 INFORCE-RECORD-3X.  
 05 I3X-RECORD-TYPE PIC X(02).  
 05 FILLER REDEFINES I3X-RECORD-TYPE.  
 10 FILLER PIC X.  
 10 I3X-RECORD-COUNTER PIC X.  
 05 I3X-GENERAL-AGENT-ID PIC X(12).  
 05 I3X-POLICY-NUMBER PIC X(15).  
 05 FILLER OCCURS 4.  
 10 I3X-AGENT-NAME PIC X(30).  
 10 I3X-AGENT-NUMBER PIC X(12).  
 10 I3X-CONTRACT-TYPE PIC X(02).  
 10 I3X-SPLIT-PERCENT PIC 9(03)V9(02).  
 05 FILLER PIC X(25).

**5.1.6 In Force Status Additional Insureds Record Type ‘4A’**

01 INFORCE-RECORD-4X.  
 05 I4X-RECORD-TYPE PIC X(02) ‘4A’.  
 05 I4X-GENERAL-AGENT-ID PIC X(12).  
 05 I4X-POLICY-NUMBER PIC X(15).  
 05 FILLER OCCURS 3.

10 I4X-INSURED-NAME	PIC X(30).
10 I4X-INSURED-DOB	PIC 9(06).
10 I4X-INSURED-AGE	PIC 9(02).
10 I4X-INSURED-SEX	PIC X(01).
10 I4X-INSURED-CLIENT-NUMBER	PIC X(15).
<b>05 I4X-INSURED-DOB-CI-1</b>	<b>PIC X(01)</b>
<b>05 I4X-INSURED-DOB-CI-2</b>	<b>PIC X(01)</b>
<b>05 I4X-INSURED-DOB-CI-3</b>	<b>PIC X(01)</b>
05 FILLER	PIC X(56)

**5.1.7 In Force Status Additional Coverages Record Type '5A'**

01 INFORCE-RECORD-5X.

05 I5X-RECORD-TYPE	PIC X(02)	'5A'.
05 I5X-GENERAL-AGENT-ID	PIC X(12).	
05 I5X-POLICY-NUMBER	PIC X(15).	
05 FILLER OCCURS 2.		
10 I5X-PLAN-CODE	PIC X(08).	
10 I5X-LIFE-NUMBER	PIC X(02).	
10 I5X-FACE-AMOUNT	PIC 9(08)V9(02).	
10 I5X-ANNUAL-PREMIUM	PIC 9(08)V9(02).	
10 I5X-EFFECTIVE-DATE	PIC 9(06).	
10 I5X-MAT-EXP-DATE	PIC 9(06).	
10 I5X-ISSUE-AGE	PIC 9(02).	
10 I5X-RATING	PIC X(30).	
<b>05 I5X-EFFECTIVE-DATE-CI-1</b>	<b>PIC X(01)</b>	
<b>05 I5X-EFFECTIVE-DATE-CI-2</b>	<b>PIC X(01)</b>	
<b>05 I5X-MAT-EXP-DATE-CI-1</b>	<b>PIC X(01)</b>	
<b>05 I5X-MAT-EXP-DATE-CI-2</b>	<b>PIC X(01)</b>	
05 FILLER	PIC X(69)	

**5.1.8 In Force Status Owner Record Type '6A'**

01 INFORCE-RECORD-6A.

05 I6A-RECORD-TYPE	PIC X(02)	VALUE '6A'.
05 I6A-GENERAL-AGENT-ID	PIC X(12).	
05 I6A-POLICY-NUMBER	PIC X(15).	
05 I6A-OWNER-LINE OCCURS 5	PIC X(30).	
05 FILLER	PIC X(71).	

**5.1.9 In Force Status Payer Record Type '7A'**

01 INFORCE-RECORD-7A.

05 I7A-RECORD-TYPE	PIC X(02)	VALUE '7A'.
05 I7A-GENERAL-AGENT-ID	PIC X(12).	
05 I7A-POLICY-NUMBER	PIC X(15).	

**Amendment to NCSSP 300 In Force Status Download Version 1.0 - Approved 02/21/1998**

05 I7A-PAYER-LINE OCCURS 5 PIC X(30).  
05 FILLER PIC X(71).

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### 5.1.6 NCSSP In Force Status Download File Trailer Record

Record '99' contains the NCSSP Std. 300 ver 1.1 file trailer information.

01	INFORCE-TRAILER-RECORD.		
05	ITR-RECORD-TYPE	PIC X(02)	'99'.
05	ITR-RECORD-LENGTH	PIC X(04).	
05	ITR-STANDARD-NUMBER	PIC X(03)	'300'.
05	ITR-CARRIER-ID	PIC X(05).	
05	ITR-FILE-DESCRIPTION	PIC X(30).	
05	ITR-FILE-DATE	PIC 9(06).	
05	ITR-VERSION-NUMBER	PIC X(04).	
05	ITR-RECORD-COUNT	PIC 9(05).	
05	ITR-POLICY-COUNT	PIC 9(05).	
<b>05</b>	<b>ITR-CENT-FILE-DATE</b>	<b>PIC X(08).</b>	
05	FILLER	PIC X(186).	

This document assumes familiarity with the originally published standards documents for In Force Status 300 ver. 1.0. Please refer to that document for additional information about this standard.